

APPEAR 11th Call

Webinar for Applicants

APPEAR Office

13 March 2024

With funding from
 Austrian
Development
Cooperation

appear

Austrian Partnership Programme
in Higher Education and Research
for Development



Univ.-Doz. Dr. Andreas Obrecht

Bereichsleitung / Head of Sector

✉ andreas.obrecht@oead.at

☎ +43 1 53408-420



Mag. Maiada Hadaia

Koordinatorin / Coordinator Ö1 ORF Campusradio "Welt im Ohr"

✉ maiada.hadaia@oead.at

☎ +43 1 53408-423



MMag. Julia Lichtkoppler-Moser

Programmkordinatorin, Netzwerk Africa-UNINET /
Programme Officer APPEAR

✉ julia.lichtkoppler@oead.at

☎ +43 1 53408-421



DI Elke Stinnig BA

Programmkordinatorin / Programme Officer APPEAR

✉ elke.stinnig@oead.at

☎ +43 1 53408-426



Mag. Dr. Rainer Einzenberger

Programmkordinator / Programme Officer APPEAR, Africa-
UNINET

✉ rainer.einzenberger@oead.at

☎ +43 1 53408-423



Mag. Alexandra Grieshofer

Programmkordinatorin / Programme Officer APPEAR

✉ alexandra.grieshofer@oead.at

☎ +43 1 53408-425

Overview

Purpose of this webinar: general questions on funding instruments, formal requirements and general recommendations

- Presentation by the APPEAR Office and Q&A
 - APPEAR III Calls, objective and thematic focus
 - Target countries and eligible institutions
 - Funding instruments
 - Guiding principles, added values
 - Financial guidelines
 - Checklist for a complete application

Questions?

- Raise virtual hand or write in chat
- <https://appear.at/en/application>
- Slides of the Webinar will be available online
- FAQ section

Contact us:

- appear@oead.at

APPEAR III Calls

- 11th call open from 1 March 2024 – Deadline 31 May 2024
 - Academic Partnership – maximum duration: **2 years**
 - Advanced Academic Partnership – maximum duration: **2 years**
 - Extended Impact Partnership – maximum duration: **2 years**
- Preparatory Funding and Individual Scholarships not available in this call
- Selection of projects by the end of 2024, start of the projects in January 2025, maximum duration of 2 years
- This is the final call of APPEAR III until the end of the programme phase in 2027
- APPEAR is a programme of the Austrian Development Cooperation, with a total budget of ca. 18.7 million euros



Overall objective

- to strengthen scientific foundation and institutional capacities in higher education, research and management in the partner countries through academic partnerships with Austrian higher education institutions and master's and PhD scholarships as a contribution to achieve the Sustainable Development Goals (SDGs).
- Furthermore, APPEAR contributes to increasing the commitment on development cooperation at Austrian higher education institutions.



Thematic focus

Strengthening of institutional capacities in higher education and research for development:

- Eradicating poverty and meeting basic needs – water, sanitation, energy, food security, including a nexus approach, education for all, social protection and employment for all
- Human rights, gender equality, empowerment of women and girls, migration
- Sustainable economic development – private sector development, sustainable economy and urban development, leveraging digital technologies for development, tax systems and domestic revenues in partner countries
- Protection of the environment and sustainable management of natural resources – mitigating climate change and its impacts, protection of ecosystems, responsible use of natural resources, sustainable energy, water and sanitation
- Peace, conflict prevention, security and development, governance, humanitarian aid

Priority countries

- Ethiopia
- Uganda
- Mozambique
- Burkina Faso
- Bhutan
- Palestine: in the 11th call only West Bank
- Armenia
- Georgia
- Republic of Moldova
- Kosovo
- Albania



Eligible institutions 1

- **Austrian institutions:** Only higher education institutions (HEI) are eligible to participate.
 - [Austrian Federal Ministry of Education, Science and Research](#)
 - public and private universities, universities of applied sciences and university colleges of teacher education
- **Academic institutions in priority countries:** This term encompasses higher education institutions and research institutions in priority countries, which form the main target group of the APPEAR programme.
- Each APPEAR partnership has to consist of at least one Austrian institution and one academic institution in a priority country. Only institutions out of these two groups can submit a project. The institution that submits the project proposal is also the one who will have the overall coordination of the project, which also entails the overall responsibility for reporting.

Eligible institutions 2

- **Non-academic institutions from priority countries**, such as NGOs, think tanks, teaching institutions, civil society organisations, are eligible to participate as additional partners.
- **Associate partner institutions** are located outside Austria and the 11 priority countries, but in a country listed in the OECD DAC list of ODA recipients. Geographical proximity is required. The sum of all associate partner institutions in a consortium must not exceed 30% of the total project budget.
- **EU institutions:** Higher education institutions from EU member states can be included in a project but are not eligible to receive funding.

Funding instruments – 11th Call

Component 1			
	Academic Partnership	Advanced Academic Partnership	Extended Impact Partnership
Target group	Higher education institutions and research institutions in the target countries and higher education institutions in Austria	Higher education institutions and research institutions in the target countries and higher education institutions in Austria	Higher education institutions and research institutions in the target countries and higher education institutions in Austria and further non-academic partner institutions
Submission	The project coordinating institution can either be a HEI or research institution in a priority country or a HEI in Austria	The project coordinating institution can either be a HEI or research institution in a priority country or a HEI in Austria	The project coordinating institution can either be a HEI or research institution in a priority country or a HEI in Austria
Duration	Max. 2 years	Max. 2 years	Max. 2 years
Budget	2 partner institutions: 50,000 – max. 90,000 euros / year More than 2 partner institutions: 50,000 – max. 130,000 euros / year	2 partner institutions: 50,000 – max. 90,000 euros / year More than 2 partner institutions: 50,000 – max. 130,000 euros / year	2 partner institutions: 50,000 – max. 90,000 euros / year More than 2 partner institutions: 50,000 – max. 130,000 euros / year
Requirements	See chapters 5 and 6	Successfully completed Academic Partnership in APPEAR (see chapter 7)	Successfully completed Academic Partnership or Advanced Academic Partnership in APPEAR (see chapter 8)

Academic Partnership

- The objective is to improve the capacities of higher education institutions in the partner countries in the field of higher education, research and management. However, it is not required to focus on all areas.
- In order to unite scientific questions with specific needs and demands of the target countries the applicants have to embed a development approach in the theoretical, methodological and empirical design of the proposed activities.

Academic Partnership | Albania and Kosovo

- Funding is only available for development research, not in the area of capacity development in teaching and management at higher education institutions.
-> The reason for this exception is the status of both countries as EU accession candidates, which enables their participation in other international and European funding programmes - e.g. Erasmus+, Horizon Europe, CEEPUS.
- Explain in the proposal why no other national, European or international funding instrument can be used to finance the project.
- The content of this development research must relate to the strategies, programmes and projects of ADC.

Advanced Academic Partnership

- Based on the results of a successfully finalised APPEAR project, provided that new qualitative project objectives and new innovative designs have been defined.
- The proposal includes new objectives that will further increase the quality of cooperation and of the expected results.
- The proposal presents the intended added value of the Advanced Academic Partnership and identifies the beneficiaries addressed by this added value.

Extended Impact Partnership

- Based on the results of a successfully completed APPEAR project (Academic Partnership or Advanced Academic Partnership). Extending the impact by focusing on the uptake of achieved results.
- This instrument aims at applying results / innovations with stakeholders (communities, civil society, policy makers) in order to put research into use.
- The focus lies on societal change outside the academic institution itself with the aim of improving the living situation of defined target groups. A broad stakeholder approach is recommended in order to include all groups likely to be affected by the intervention.
- Non-academic institutions such as think tanks, development organisations, ministries, local administrations, etc. can be included.

Guiding principles

- Participatory approach
- A concept of culturally open-minded knowledge
- Practically and empirically oriented approach
- Gender sensitivity
- Bottom-up and demand driven approach
- Leave no one behind
- Open Access – Open Data

Added values

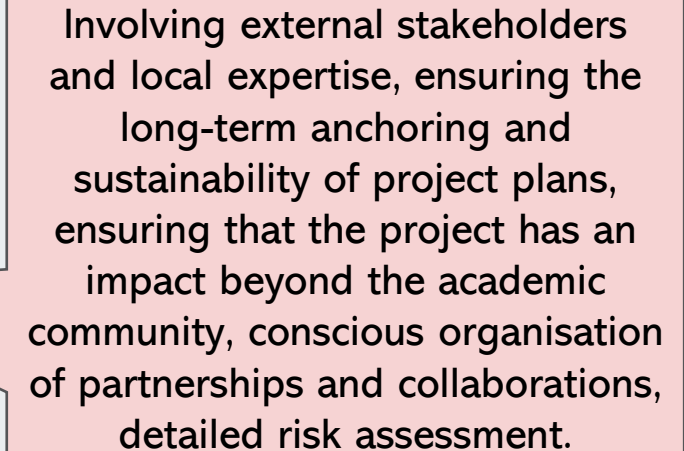
- Alignment with the priorities of the ADC country strategies, use of synergies with ongoing ADC-funded projects
- Inclusion of persons with disabilities in alignment with the APPEAR diversity strategy
- Strengthening of regional networks and South-South cooperation
- Advancement of young and particularly female researchers
- Practically oriented activities that enhance the application of results, research-into-use and research uptake
- Project responsibility by an institution in the partner country

5 Qualitative dimensions

- Five dimensions to consider for proposal development and basis for external evaluation:
 - Relevance for and contribution to development and the achievement of SDGs
 - Results and long-term change
 - Project design and quality
 - Management and capacity of consortium
 - Cost effectiveness
- Assessment by at least 2 international peer reviewers and feedback by local ADC office
- Selection by Selection Board



Theory of Change



Involving external stakeholders and local expertise, ensuring the long-term anchoring and sustainability of project plans, ensuring that the project has an impact beyond the academic community, conscious organisation of partnerships and collaborations, detailed risk assessment.


Time schedule



Earliest possible project start: Spring 2025.

Embedded scholarships

- Master- und PhD-scholarships embedded in an ongoing APPEAR project (ca. 70 % of all scholarships)
 - 1 scholarship per projects
 - Financing and implementation by APPEAR component 2, if the project is accepted
 - ✓ Type of scholarship / intended field / topic of research
 - ✓ Costs for field research / data acquisition
 - ✓ Formal requirements



No applications for
Individual Scholarships can
be submitted in this call.

Academic Partnership, Advanced Academic Partnership, Extended Impact Partnership | Summary of costs

H.1. Summary of costs					
	Coordinating institution	Partner institution 1	Partner institution 2	Partner institution 3	TOTAL
Staff costs	€ 0,00	€ 0,00	€ 0,00	€ 0,00	€ 0,00
Travel and subsistence	€ 0,00	€ 0,00	€ 0,00	€ 0,00	€ 0,00
Equipment and material	€ 0,00	€ 0,00	€ 0,00	€ 0,00	€ 0,00
Dissemination	€ 0,00	€ 0,00	€ 0,00	€ 0,00	€ 0,00
Other costs	€ 0,00	€ 0,00	€ 0,00	€ 0,00	€ 0,00
Total	€ 0,00	€ 0,00	€ 0,00	€ 0,00	€ 0,00
Overhead costs (10%)					€ 0,00
Total costs					€ 0,00

Name of institution	Austrian institution(s)	Institution(s) in priority countries	Associate partner institution(s)	TOTAL
	€ 0,00	€ 0,00	€ 0,00	€ 0,00
	€ 0,00	€ 0,00	€ 0,00	€ 0,00
	€ 0,00	€ 0,00	€ 0,00	€ 0,00
	€ 0,00	€ 0,00	€ 0,00	€ 0,00
	€ 0,00	€ 0,00	€ 0,00	€ 0,00
Total	€ 0,00	€ 0,00	€ 0,00	€ 0,00
Share in per cent	%	%	Max. 30%	100%

At least 50% of the project budget has to be allocated to the partner institution(s) in the target country(ies)

AP, AAP, EIP | Eligible expenses 1

- Staff costs
 - Based on **local rates**
 - Number of days and daily rate
 - No scholarships
- Travel and subsistence
 - Project-related travel of project team members
 - Cost efficiency; economy class for flight and train tickets
 - In all cases accommodation costs and daily allowance have to be calculated based on the [Austrian Regulation for Travel Expense Reimbursement “Austrian Reisegebührenvorschrift – RGV”](#)
 - Expenses for visa, travel insurance and required travel vaccinations are eligible, as is malaria prophylaxis

AP, AAP, EIP | Eligible expenses 2

- Equipment and material costs
 - primarily foreseen for partner institutions in the priority countries
 - In case equipment is needed for Austrian partner institutions it has to be well argued and **handed over to the partner institution** in the priority countries at the end of the project
 - Procurement regulations for goods and services
- Dissemination costs
 - Publications are mandatory in **Open Access** and the respective costs can be considered in the budget
 - E.g. Costs for publications and related services
 - E.g. Cost for dissemination events, website etc.
 - Procurement regulations for goods and services

AP, AAP, EIP | Eligible expenses 3

BOKU is currently restructuring their CO2 compensation programme and thus does not offer their services until the new structure is put in place.

- Other costs
 - **CO2 compensation costs** are required for all flights, domestic and international. Precalculation of CO2 costs based on envisaged flights can be calculated online. These costs have to be added to the Austrian partner institution's part. In the reports, CO2 compensation has to be conducted using the **BOKU CO2 compensation programme**.
 - Bank fees are eligible but have to be budgeted.
 - Auditing costs have to be added to the respective institution.
 - **All participating institutions are required to submit an audit report for each reporting period.**
 - E.g. costs for meetings, workshops, trainings
 - Procurement regulations for goods and services

AP, AAP, EIP | Eligible expenses 4

- Overhead:
 - Max. 10% of the total costs (e.g. general accounting, stationary, use of institutional venues, electricity, communication expenses). The 10% refer to the actual and approved expenses.
 - Estimation of the use of overhead costs in the budget sheet (2nd sheet).

AP, AAP, EIP | Please consider

- Please don't forget to include the following items:
 - Audit report for all participating institutions for every reporting period
 - CO2 compensation budgeted at Austrian partner institution
 - Use of overhead costs
- Before you submit:
 - Budget limit, budget distribution

AP, AAP, EIP | Reporting

- Half-year narrative reports
- Annual / Final narrative and financial reports
 - ! - **Annual audit reports** for all participating institutions
 - Only expenses spent within the project period are eligible.
- Reporting guidelines, reporting dates in the contract
- <https://appear.at/en/implementation/reporting-project-partnership>

AP, AAP, EIP | instalments

- The grant amount will be transferred in several instalments
 - First instalment after contract signing, second instalment after approval of the 1st annual narrative and financial report
 - 5% of the budgeted costs will be withheld and only be paid as final instalment after the approval of the final narrative and financial report.
- It might be necessary for the participating institutions to pre-finance some of the expenses in the final year.
- The final instalment amounts to the total actual and approved project expenses minus the previous instalments. In case the total actual and approved project expenses amount to less than was already transferred, the remaining money has to be refunded to OeAD.
- Overhead costs of max. 10% refer to the **actual and approved project expenses**.

AP, AAP, EIP | Checklist for a complete application

Required document	Please tick:
Application form – Academic Partnership Signed by the project coordinator in <i>section K of this application form</i> . <ul style="list-style-type: none">➤ Please make sure that the budget summary in H.1. corresponds to the budget sheet➤ Please update the table of contents before you submit the application	<input type="checkbox"/>
Budget sheet – Academic Partnership	<input type="checkbox"/>
CVs of all involved team members – max. 4 pages per CV	<input type="checkbox"/>
Consortium agreement Confirmation of intention to carry out the proposed project signed by all involved contact persons at participating institutions and associate partner institutions (if any) – as defined in the application form.	<input type="checkbox"/>
Letter of intent of <u>each</u> participating institution Signed by an authorised signatory of the respective institution	<input type="checkbox"/>

- Submit the complete application to appear@oead.at
- Deadline for submissions: 31 May 2024

More information...

- <https://appear.at/en/application>
 - Guidelines and application forms
 - Webinars for applicants
 - Gender mainstreaming, diversity strategy, further documents
 - Austrian higher education institutions, project databases
 - FAQ concerning the application, templates
- [Webinar 2 | Tuesday, 16 April 2024, 10:00-12:00 CEST](#)
 - Theory of change, financial regulations, frequently asked questions
- appear@oead.at

Thank you!

www.appear.at/application

appear@oead.at

>>> Deadline for submissions within the 11th call: 31 May 2024